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# FRONTIERS IN PHARMACEUTICAL ANALYSIS

**ISSN: ( 3065- 1352 )**

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# Evidence from hematological and epigenomic profiling shows that acute hypoxia inhibits blood glycolysis in saker falcons (*Falco cherrug*) through NR3C1-mediated regulation of HK1.

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## Article Info

Received: 30-12-2024 Revised: 08-01-2025 Accepted: 19-01-2025 Published: 29-01-2025

### ABSTRACT

High-altitude bird species are moving to even higher elevations due to ongoing climate change, but little is known about the physiological and regulatory responses that allow these migrations. By subjecting saker falcons (*Falco cherrug*) that live on the Qinghai-Xizang Plateau to simulated altitudes of 5,000–6,000 meters above sea level (a.s.l.), which is higher than their normal range of elevations (about 4,300 meters a.s.l.), this study examined acute hypoxia responses in these birds. Juvenile falcons maintained similar activity levels over the 4 000–5 000 m and 5 000–6 000 m a.s.l. ranges, according to GPS monitoring data. On the other hand, pre-fledging individuals exposed to 6,000 m hypoxia for three days showed significant

increases in blood glucose and hemoglobin concentration. Significant inhibition of glycolytic activity was found by transcriptomic profiling, which was particularly evident in the decreased expression of hexokinase 1 (HK1), a crucial enzymatic gene involved in the glycolytic pathway. Additionally, ATAC-seq revealed two conserved cis-regulatory regions that the transcription factor NR3C1 recognizes in the hypoxia-treated group, indicating increased chromatin accessibility within the HK1 gene under hypoxia. There was a negative correlation between HK1 and NR3C1 expression. Interestingly, both components were distinct and evolutionarily conserved in bird species, indicating that they might play a part in hypoxia resistance.

**Keywords:** Saker falcons, glycolysis, climate change, hypoxic response, Qinghai-Xizang Plateau

### OVERVIEW

One of the most harsh terrestrial ecosystems on Earth can be found on the Qinghai-Xizang Plateau, sometimes known as the "Third Pole of the World," which is marked by persistent hypoxia, low temperatures, and strong UV radiation (Hu et al., 2022). The most significant restriction on resident organisms among these environmental constraints is the decreased supply of oxygen (Pan et al., 2017). Many bird species that are native to the plateau region have seen an upslope shift in their elevational distribution due to ongoing climate warming (Jiang et al., 2023; Li et al., 2023; Meng et al., 2021), which has increased the severity of hypoxic stress at higher elevations (Parmesan & Yohe, 2003). Despite these modifications, little is known about the methods by which birds react to

short-term, progressively more severe hypoxia circumstances.

According to Collier and Gebremedhin (2015) and Ho et al. (2020), vertebrate stress reactions to environmental change usually take place in two temporal phases: an acute response that is triggered within minutes to days, and a chronic phase that develops with sustained exposure. Birds' acute hypoxia reactions

comprise a range of behavioral and physiological alterations (Altshuler & Dudley, 2006; Boyle, 2017; Ivy & Guglielmo, 2023), including elevated hemoglobin oxygen levels (Hu et al., 2022), altered flight duration to lower energetic costs (Barve et al., 2016), and elevated heart and respiration rates (Lague et al., 2017). Vertebrate adaptation to hypoxia depends on effective energy control, such as enhanced glycolytic pathways (Ding et al., 2021; Lau et al., 2017; Murray, 2016; Ramirez et al., 2007). In Tibetan chickens (*Gallus gallus*), for example, it has been demonstrated that hexokinase 1 (HK1), which encodes a rate-limiting glycolytic enzyme, mediates hypoxia adaptation through HIF-1-dependent regulation (Tang et al., 2021). However, the majority of previous research has concentrated on chronic hypoxia therapies (Ho et al., 2020) or long-term adaptations in high-altitude species (Ivy & Guglielmo, 2023), leaving acute hypoxic responses in wild birds relatively uncharacterized.

An excellent model for researching acute reactions to plateau hypoxia is the saker falcon (*Falco cherrug*), a raptor bird species that has lately spread onto the Qinghai-Xizang Plateau (Hu et al., 2022; Pan et al., 2017). With a core distribution of 4 000–5 000 m above sea level (a.s.l.), this species is found across a wide elevational gradient (4 000–6 000 m) (Zhan et al., 2015). Prior studies found that highland populations expressed more oxygen transport isoforms (such EPAS1) than low-altitude populations, indicating long-term genomic reactions to hypoxic circumstances (Pan et al., 2017). In light of these findings, the current study assessed the physiological and transcriptional alterations that occurred in saker falcons after acute hypoxia exposure and compared their activity levels across two elevation bands (4 000–5 000 m and 5 000–6 000 m a.s.l.). The results showed that the down-regulation of the important glycolytic gene HK1 significantly suppressed glycolysis in blood cells. This could be an adaptive tactic to maximize energy distribution, enabling prolonged feeding at higher elevations. Additionally, two conserved avian-specific cis-regulatory regions within the HK1 gene were discovered by chromatin accessibility profiling, which may allow for quick transcriptional modulation under hypoxic stress. These regulatory characteristics might help newly formed highland populations tolerate short-term hypoxia.

## SUPPLIES AND TECHNIQUES

**Ethics** The Animal Ethics Committee of the Institute of Zoology, Chinese Academy of Sciences, approved all experimental techniques (No. IOZ-IACUC-2021-077). The Three-River-Source National Park Administration in Qinghai Province, China, authorized the field study (No. 2022-189).

**Monitoring the movements of upland buzzards and saker falcons**

Six young saker falcons and four young highland buzzards (*Buteo hemilasius*) in Qinghai Province were equipped with 15 g GSM transmitters (HQB2715L, Global Messenger Inc., China) in order to gather tri-axial acceleration (ACC) data (Supplementary Table S1). Every ten minutes, each transmitter captured 7-second segments of ACC data at a sampling frequency of 10 Hz. Overall dynamic body acceleration (ODBA), a reliable indicator of avian activity, was used to measure movement intensity (Aikens et al., 2024; Pokrovsky et al., 2021). ODBA was determined by adding together all of the dynamic

dynamic acceleration, which is calculated by deducting smoothed static acceleration from raw acceleration data, and acceleration components along all three dimensions (Martín López et al., 2022).

Activities with  $ODBA \leq 0.15$  g were categorized as non-flight behaviors and used as the focus for comparison with free-ranging sakers across various altitudes because the falcons employed in the hypoxic chamber study only displayed non-flight behaviors. Flight behaviors were characterized as those activities that exceeded this criteria ( $ODBA > 0.15$  g). ODBA measurements averaged over 30-minute intervals were used in Pearson correlation studies to evaluate the relationship between altitude and activity levels. Pearson correlation coefficients ( $r$ ) were calculated independently for saker falcons and upland buzzards after corresponding altitude data were retrieved for each period (Supplementary Table S2).

**Exposure to hypoxia and physiological evaluation**

27 pre-fledging saker falcons (aged 5–6 weeks) were taken from artificial nests inside an experimental grid in Madoi County, Qinghai Province, at an average altitude of 4,300 meters above sea level, in order to examine the molecular and physiological reactions to acute hypoxia. Only one chick per nest was chosen at random to be included in order to prevent faux replication (Supplementary Table S3). The Chinese Academy of Sciences' Yellow River Source Wildlife Protection Research Station served as the site of the experiments.

According to the International Standard Atmosphere (ISA) model, the chicks were first acclimated for seven days in a lab setting that replicated their natural environmental parameters (air pressure: 62.1 kPa, partial oxygen pressure: 13.0 kPa, oxygen concentration: 20.9%, humidity: 50%, temperature: 15°C). Each chick was given 150 g of fresh meat every day and unrestricted access to water while they were acclimated. Each chick was given 500–600 µL of blood on day 8 to act as the baseline control (natural environment (NE) group). Individuals were moved to an oxygen chamber (ProOx-850, Shanghai Toward Intelligent Technology Co., Ltd., China) after baseline sampling. This chamber was set up to replicate the partial oxygen pressure at 6,000 meters above sea level (air pressure: 51.1 kPa, partial oxygen pressure: 10.7 kPa, oxygen concentration: 20.9%, humidity: 50%, temperature: 15°C). Instead of changing the oxygen concentration, high-altitude hypobaric hypoxia was produced by varying the air pressure inside the sealed chamber. Three people then had blood samples (700–800 µL) taken at 0.5, 2, 4, 6, 12, and 24 hours after treatment exposure. After being exposed to hypoxia for 72 hours, nine more chicks were sampled to create the simulated hypoxia (SH) group. For RNA extraction, a 100 µL aliquot of each sample was promptly preserved in RNA-protect Animal Blood Tubes (Qiagen, Germany) and kept in liquid nitrogen. The leftover blood was kept in EDTA tubes (BD, USA) for ATAC-seq, plasma isolation, and hematological tests. After the trial was over, all of the chicks were put back in their nests and kept under daily remote observation until they fledged. There were no negative impacts on fledging success, survival, or developmental outcomes according to phenotypic

evaluations done before and after treatment (Supplementary Table S4). Biochemical and physiological analyses of blood samples

Six blood samples from each group were examined.

using an automated hematology analyzer (B412, Gaugene) to measure four hematological parameters, including total hemoglobin (HGB), hematocrit (HCT), mean corpuscular volume (MCV), and mean corpuscular hemoglobin (MCH). For each measurement, 20 µL of whole blood was used for analysis. Samples were centrifuged at 1 000 ×g for 10 min at 4°C to isolate plasma. To quantify blood glucose levels, 50 µL of whole blood was analyzed using a portable glucometer (Accu-Chek® Performa, Roche, Switzerland), previously validated for avian blood (Lieske et al., 2002; Mohsenzadeh et al., 2015). For each individual, three measurements were taken using separate test strips, and the average value was used for analysis. Because measurements were obtained from the same chicks before and after hypoxia treatment, paired *t*-tests were used to assess statistical significance. Furthermore, 50 µL of plasma collected at baseline and 72 h post-treatment was used to determine concentrations of total triglycerides (TG), total cholesterol (TC), high-density lipoprotein cholesterol (HDL-C), and low-density lipoprotein cholesterol (LDL-C) using commercial assay kits (BioSino, Biotechnology and Science Inc., China).

#### **Identification of differentially expressed genes (DEGs) and pathway enrichment analysis**

Total RNA was extracted from blood samples using an RNeasy Protect Animal Blood Kit (Qiagen, Germany), then subjected to mRNA purification, library preparation, and sequencing following previously established protocols (Pan et al., 2017). Raw RNA sequencing (RNA-seq) data were processed using the analysis pipeline developed by Hu et al. (2022). Initial quality control was performed using FastQC (Andrews, 2010). Reads containing adapter sequences, over 10% ambiguous bases, or more than 50% low-quality bases (Phred score < 10) were

excluded. The remaining high-quality clean reads were aligned to the saker falcon reference genome (Zhan et al., 2013; Hu et al., 2022) using HISAT2 with default parameters (Kim et al., 2019). Gene annotations were merged using STRINGTIE v.1.2.0 with default settings (Pertea et al., 2015) to construct a comprehensive transcript reference. Gene expression levels were quantified with FeatureCounts (Liao et al., 2014) and normalized as fragments per kilobase of transcript per million mapped reads (*FPKM*) (Mortazavi et al., 2008). Principal component analysis (PCA) was performed using FactoMineR (Lê et al., 2008).

Differential gene expression was assessed using three statistical frameworks: DESeq2 (Love et al., 2014), edgeR (Robinson et al., 2010; Zhou et al., 2014), and limma (Ritchie et al., 2015; Smyth, 2004). Genes with a log fold change ( $\log_2FC$ ) > 1.5, false discovery rate (*FDR*) < 0.05, and identified by at least two of the three methods were designated as DEGs for downstream analyses. Functional enrichment of DEGs was evaluated using Gene Ontology (GO) (Ashburner et al., 2000; Thomas et al., 2022) and Kyoto Encyclopedia of Genes and Genomes (KEGG) (Kanehisa & Goto, 2000) databases via the R package clusterProfiler v.4.0.5 (Wu et al., 2021). Enrichment significance was determined using the hypergeometric test with a threshold of  $P < 0.05$ .

#### Identification of differential ATAC-seq peaks

ATAC-seq analysis was conducted on six blood samples—three collected prior to treatment and three collected at 72 h post-treatment. Whole blood was centrifuged at 800 ×g for 10 min at 4°C, with the resulting cell pellets washed with phosphate-buffered saline (PBS) before being resuspended in cryopreservation medium (90% fetal bovine serum (FBS), 10% dimethyl sulfoxide (DMSO)) at a concentration of 1 μL blood cells per 1 mL medium. Libraries were prepared using the Omni-ATAC protocol (Grandi et al., 2022). Briefly, nuclei were isolated with a commercial lysis buffer (Illumina, USA) and subjected to tagmentation using a Tn5 transposase mixture (Illumina, USA). Sequencing was performed on

the Illumina NovaSeq 6000 platform (USA).

Raw ATAC-seq data underwent quality control using Trimmomatic with default parameters (Bolger et al., 2014). Clean reads were then aligned to the saker falcon reference genome using BWA (Li & Durbin, 2009). Reads with Phred scores < 20 and duplicates were removed. Open chromatin regions (ATAC-seq peaks) were identified using MACS2 (Gaspar, 2018) with the parameters “-nomodel -f BAMPE -p 0.05”, and peaks detected in at least two samples were retained for further analysis. Differential ATAC-seq peaks, representing chromatin regions with significantly altered accessibility, were identified using DiffBind (Stark & Brown, 2011) with thresholds of  $P < 0.05$  and  $\log_2FC > 1$ . Potential transcription factor binding sites (TFBSs) and transcription factors (TFs) within these differential peaks were predicted using AliBaba2 (Grabe, 2002).

#### Co-expression analysis

To investigate potential regulatory networks involving *HK1*, co-expression analysis was performed across the transcriptome. Pearson correlation coefficients were calculated between *HK1* expression and that of all other expressed genes to assess the strength and direction of linear associations (Obayashi & Kinoshita, 2009). Correlation coefficients near +1 or -1 indicated strong positive or negative relationships, respectively, while values close to 0 implied no correlation. Statistical significance was determined using two-tailed *t*-tests with a threshold of  $P < 0.05$ .

#### Comparative genomic analysis of the differential *HK1* peak across vertebrate species

To assess the evolutionary conservation of the differential *HK1* ATAC-seq peak, a comparative genomic analysis was conducted across 30 representative vertebrate species, including four mammals, four amphibians, four reptiles, and 18 bird species (including five from Falconiformes, two from Charadriiformes, one from Caprimulgiformes, one from Psittaciformes, two from Pelecaniformes, two from Passeriformes, one from Columbiformes, one from

Anseriformes, and three from Galliformes) (Supplementary Table S5). A 400 bp sequence spanning the differential peak identified in the SH group was queried against this database using the Discontiguous MegaBLAST function in BLAST

v.2.17.0 with default parameters (Morgulis et al., 2008). Orthologous sequences were extracted and aligned using ClustalW v.2.1 with default settings (Larkin et al., 2007). Ancestral sequence reconstruction for the 18 avian species was performed using the codeml module within the PAML

v.4.9 package under default parameters (Yang, 2007), enabling inference of conserved regulatory elements within the *HK1* locus.

### Estimation of selection pressure in coding and non-coding regions

To assess the evolutionary constraints acting on the putative cis-regulatory region upstream of *HK1*, pairwise selection pressure analyses were performed between saker falcons and chickens. A 400 bp non-coding sequence located upstream of the annotated saker *HK1* transcription start site was aligned with its orthologous genomic region in chicken using MAFFT

v.7 under default parameters (Kato & Standley, 2013). The adjacent coding sequence (CDS) was also extracted and aligned using the same procedure.

Selection pressure on the non-coding region was quantified using KaKs\_Calculator v.3.0 in non-coding mode, which estimates the nucleotide substitution rate ( $K_n$ ) within the query region and normalizes it by the synonymous substitution rate ( $K_s$ ) derived from adjacent CDS regions (Zhang, 2022). The resulting  $K_n/K_s$  ratio serves as an indicator of selective constraint, where values  $<1$  suggest purifying selection, and values  $>1$  indicate positive selection.

### Statistical analysis

Paired *t*-tests were performed using GraphPad Prism v.8.0 (GraphPad Software Inc., USA) to determine the significance of changes in activity levels of tracked sakers before and after reaching altitudes of 5 000–6 000 m a.s.l. These tests were

also used to assess the significance of physiological responses observed during the hypoxia exposure experiment.

## RESULTS

### Raptor birds maintained consistent activity levels across altitudinal gradients

GPS tracking of six juvenile saker falcons yielded 15 222 valid data points for non-flight behaviors and 6 197 data points for flight behaviors between 4 000 and 6 000 m a.s.l. These individuals spent over 95% of their time at altitudes between 4 000 and 5 000 m a.s.l., with only about 5% of observations

recorded between 5 000 and 6 000 m a.s.l. Despite this uneven altitudinal distribution, non-flight activity levels showed no significant correlation with elevation ( $r=0.194$ ,  $P=3.563e-129$ ; Figure 1B). Flight behavior also remained stable across altitudes ( $r=-0.157$ ,  $P=1.342e-35$ ; Supplementary Figure S1). A similar trend was observed in sympatric upland buzzards, which exhibited sustained activity levels across different elevations ( $r=0.266$ ,  $P=6.171e-80$ ; Supplementary Figure S2).

### Acute physiological responses to hypoxia treatment

Exposure to hypoxia simulating oxygen conditions at 6000 m

a.s.l. for 72 h resulted in marked hematological changes. Notably, HGB levels increased by 16.6%, from 154.1 g/L to

179.6 g/L, while HCT increased from 35.90% to 43.48%. Moreover, MCV and MCH increased from 120.6 fL to 132.0 fL and from 49.96 pg to 57.04 pg, respectively ( $P=0.006$ , 0.030, 0.001 and 0.003, respectively; Figure 1C). Blood glucose levels rose transiently from 7.1 mmol/L at 0.5 h to 9.3 mmol/L at 12 h post-treatment and peaked at 10.5 mmol/L after 72 h ( $P_{12h}=0.002$ ,  $P_{24h}=0.033$ ,  $P_{72h}=0.006$ ; Figure 1D). Among the four plasma lipid indices measured, only LDL-C showed a significant decrease from 3.5 mmol/L to 2.8 mmol/L ( $P=0.049$ ), while HDL-C, TC, and TG remained unchanged ( $P=0.180$ , 0.337, and 0.166, respectively, Figure 1E).

### Blood transcriptomic response to hypoxia treatment

RNA-seq yielded an average of 10 Gb of high-quality data per individual, with over 96% of reads mapping to the reference genome (Supplementary Table S6). An average of 8 016 genes per sample was detected with  $FPKM > 1$ . The PCA results revealed a clear separation between control and hypoxia-treated groups (Figure 2A). Differential expression analysis identified 1 219 DEGs—including 659 up-regulated and 560 down-regulated in the hypoxia-treated group—consistently detected by at least two of the three independent methods (Figure 2B, C). KEGG pathway enrichment analysis revealed that up-regulated genes were associated with pathways such as “aldosterone-regulated sodium reabsorption” and “fatty acid elongation” (Supplementary Table S7). In contrast, the most significantly down-regulated pathway was “glycolysis/gluconeogenesis” (Figure 2D; Supplementary Table S8), with reduced expression of multiple genes involved in this pathway, including *HK1*, 6-phosphofructokinase I (*pfkA*), aldolase (*ALDO*), fructose-bisphosphate A, glyceraldehyde-3-phosphate dehydrogenase (*GAPDH*), and enolase 1 (*ENO1*) (Supplementary Table S9). Notably, *HK1*, a critical upstream regulator of glycolytic flux, showed the most pronounced suppression, with a 1.6-fold reduction in expression under hypoxia. In addition, GO enrichment analysis revealed significant overrepresentation of terms such as “cytokine binding”, “kinase regulator activity” and “phospholipase inhibitor activity”, suggesting that immune signaling and regulatory responses were also engaged under acute hypoxic stress (Supplementary Table S10).

### Differential chromatin accessibility in the *HK1* region under hypoxia

ATAC-seq generated an average of 4.56 Gb of clean data per individual across six saker falcons (three control and three hypoxia-treated)

(Supplementary Table S11). A total of 61 479 accessible chromatin regions (peaks) were identified, of which 46 470 exhibited significant differential accessibility between groups. Within the *HK1* locus, two peaks were detected. Among them, only the peak located at Chr (chromosome) 10:

19 125 856–19 126 256 showed a significant difference ( $P < 0.05$ ), with increased accessibility in the hypoxia-treated group (Figure 3A).

### Co-expressed genes and putative TFBSs for *HK1*

Co-expression analysis identified 2 254 genes positively correlated with *HK1* and 1 069 genes negatively correlated with *HK1* (Supplementary Table S12). Within the differentially accessible *HK1* peak, 27 TFBSs corresponding to 20 TFs were predicted (Supplementary Table S13). In comparison, the other *HK1*-associated ATAC-seq peak contained 56 TFBSs associated with 55 TFs (Supplementary Table S14). Notably, among the 20 TFs predicted within the differential peak, NR3C1, with two potential TFBSs, was the only TF exhibiting a significant negative correlation with *HK1* ( $r = -0.59$ ,  $P = 0.026$ ), suggesting a potential role in transcriptional repression of *HK1* under hypoxia (Figure 3A).

### Conservation of the differential *HK1* ATAC-seq peak across bird species

Comparative genomic analysis of the 400 bp sequence spanning the differential *HK1* ATAC-seq peak across 18 avian and 12 non-avian vertebrate species (including mammals, amphibians, and reptiles) revealed that this region is unique to birds and absent in other evolutionary lineages. Among avian genomes, this element displayed over 90% sequence identity (Figure 3B). Ancestral sequence reconstruction suggested the NR3C1 binding sites within this region likely originated in the common ancestor of the avian crown group. To evaluate selective pressure, a pairwise *Kn/Ks* analysis was conducted

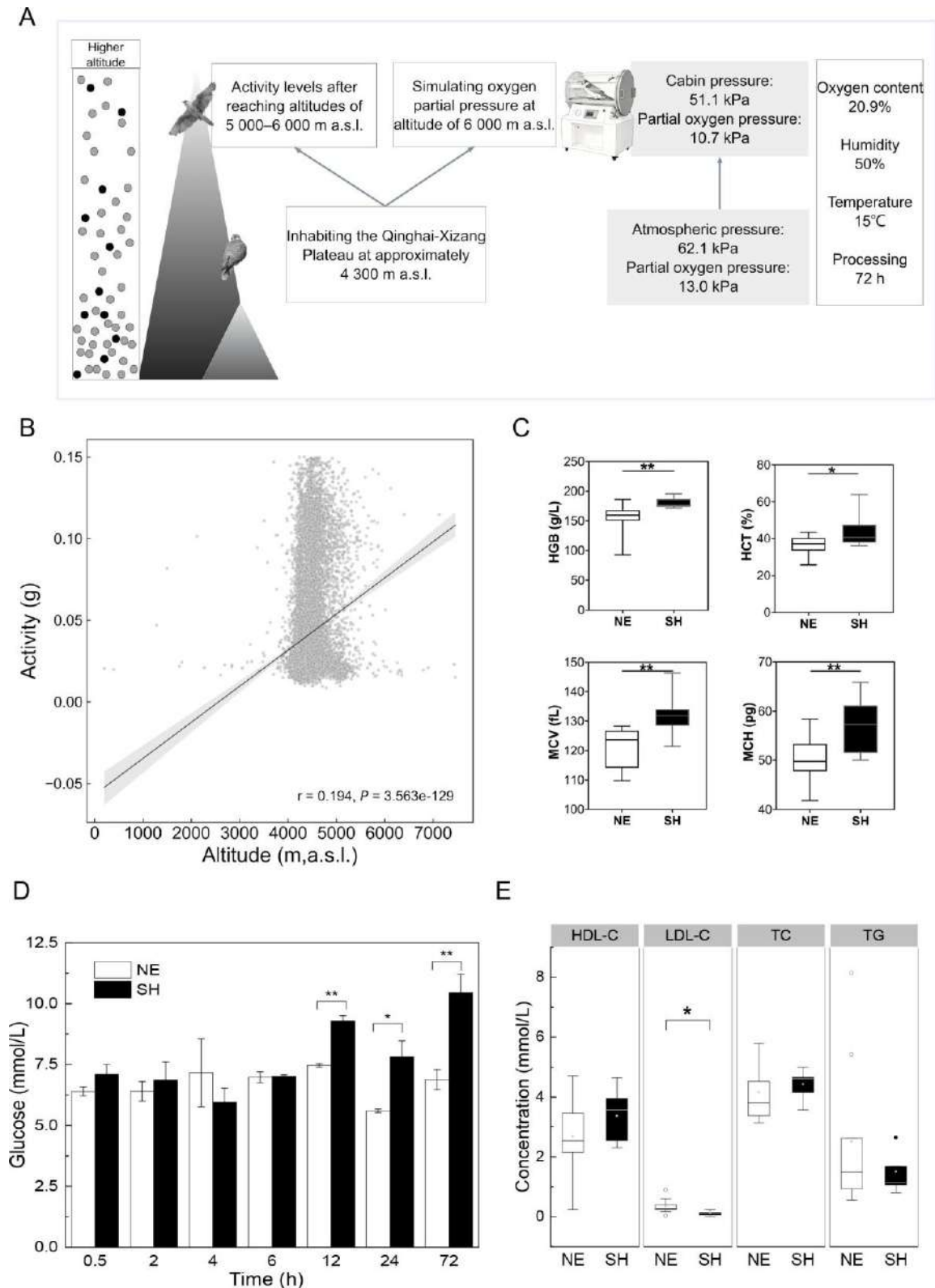


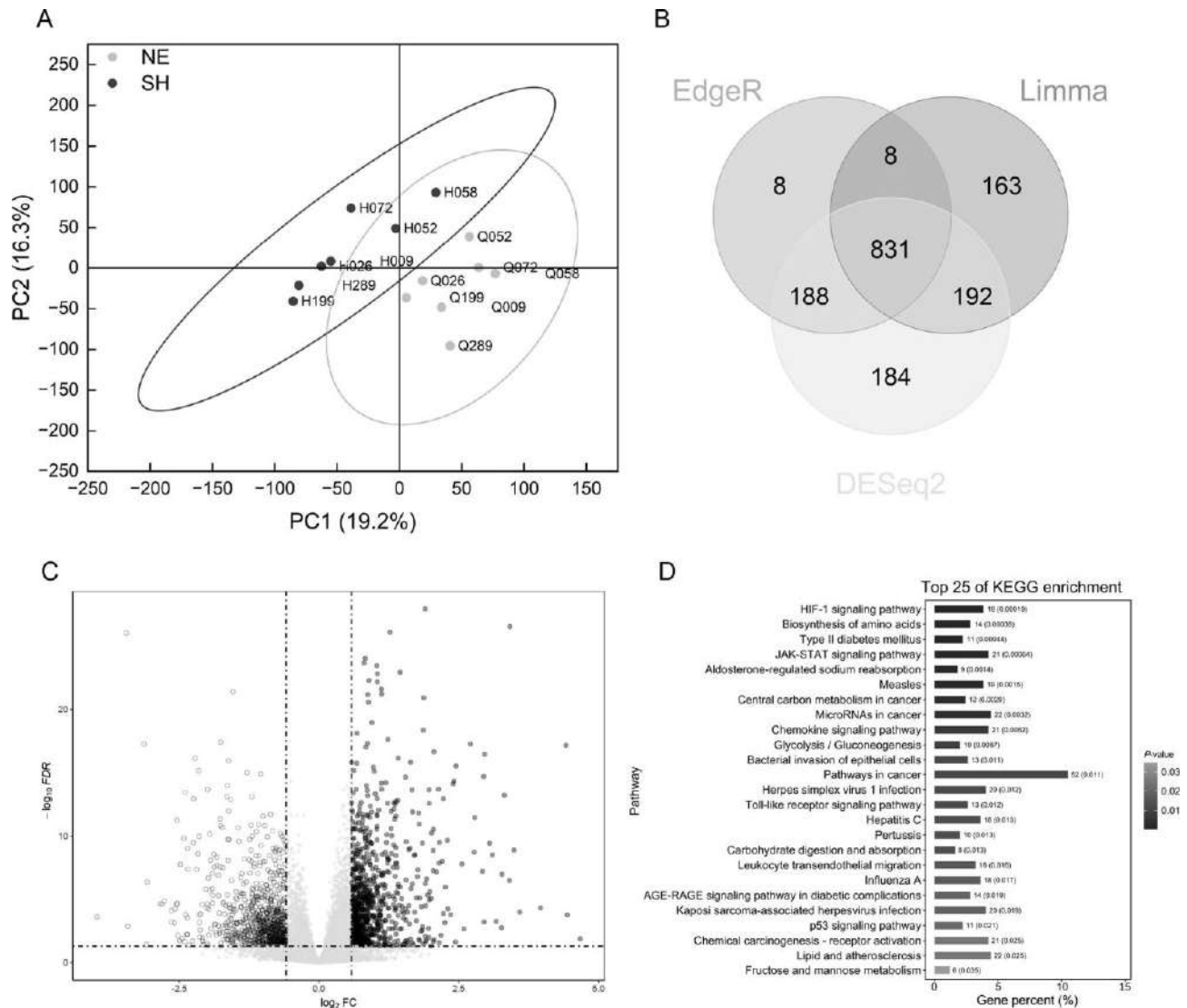
Figure 1 Analysis of activity and physiological responses in saker falcons

A: Schematic overview of the experimental design, including simulation of hypoxic conditions at 6 000 m a.s.l. and the natural habitat on the Qinghai-Xizang Plateau (approximately 4 300 m a.s.l.). Black dots represent oxygen molecules; gray dots represent other atmospheric components besides oxygen.

B: Relationship between half-hourly mean overall dynamic body acceleration (ODBA, g) and altitude ( $n=6$ ).

C: Comparisons of hematological indices, including total hemoglobin (HGB, g/dL), hematocrit

(HCT, %), mean corpuscular volume (MCV, fL), and mean corpuscular hemoglobin (MCH, pg) between the natural environment (NE) and simulated hypoxia (SH) groups ( $n=9$ ). D: Time-series analysis of blood glucose concentrations (mmol/L) between the NE and SH groups ( $n=3$ ). E: Comparisons of plasma lipid profiles, including high-density lipoprotein cholesterol (HDL-C), low-density lipoprotein cholesterol (LDL-C), total cholesterol (TC), and total triglycerides (TG) (mmol/L) between NE and SH groups ( $n=9$ ). \*:  $P<0.05$ ; \*\*:  $P<0.01$ , paired  $t$ -tests.



**Figure 2 Comparison of blood transcriptomes between the hypoxia-treated and control groups**

A: PCA analysis on studied individuals based on gene expression profiles. B: Venn diagram of DEGs identified by three different methods. C: Volcano plot of DEGs in hypoxia-treated group generated from DESeq2 analysis, where black dots represent up-regulated genes and black circles represent down-regulated genes. D: KEGG pathway enrichment analysis on DEGs down-regulated in the SH group.

between the saker falcon and chicken. The focal non-coding region yielded a  $K_n/K_s$  ratio of 0.097, indicative of strong purifying selection. Similarly, the adjacent *HK1* coding sequence exhibited a  $K_n/K_s$  ratio of 0.045. These results suggest that both the regulatory element and coding region of *HK1* are under strong evolutionary constraint, underscoring the functional relevance of this conserved upstream motif in avian hypoxia adaptation.

**DISCUSSION**

This study observed consistent activity levels in saker falcons and upland buzzards across their altitudinal ranges on the Qinghai-Xizang Plateau, indicating behavioral resilience to hypobaric hypoxia. Sustaining movement under such oxygen-limited conditions typically requires elevated aerobic capacity or increased energy turnover (Scott & Milsom, 2006; Storz et al., 2010). High basal metabolic rates may facilitate these processes by supporting extended flight performance essential for foraging efficiency and meeting the increased energy demands of cold, hypoxic environments (Gutierrez-The hypoxic conditions of the Qinghai-Xizang Plateau, characterized by significantly reduced oxygen availability, impose substantial physiological

challenges on aerobic organisms (Zhao et al., 2024). In high-altitude mammals, such as yaks and rodents, physiological compensation is achieved through increased red blood cell counts and elevated hemoglobin concentrations, enhancing systemic oxygen delivery (Arias-Reyes et al., 2021; Ayalew et al., 2021; Gassmann et al., 2019). However, most comparative studies of highland adaptations have focused on population-level divergence, with limited attention to acute, within-species physiological plasticity. In the present study, acute exposure of pre-fledging saker falcons to simulated hypoxia elicited a significant increase in hemoglobin concentration, consistent with the Andean-type adaptation observed in human

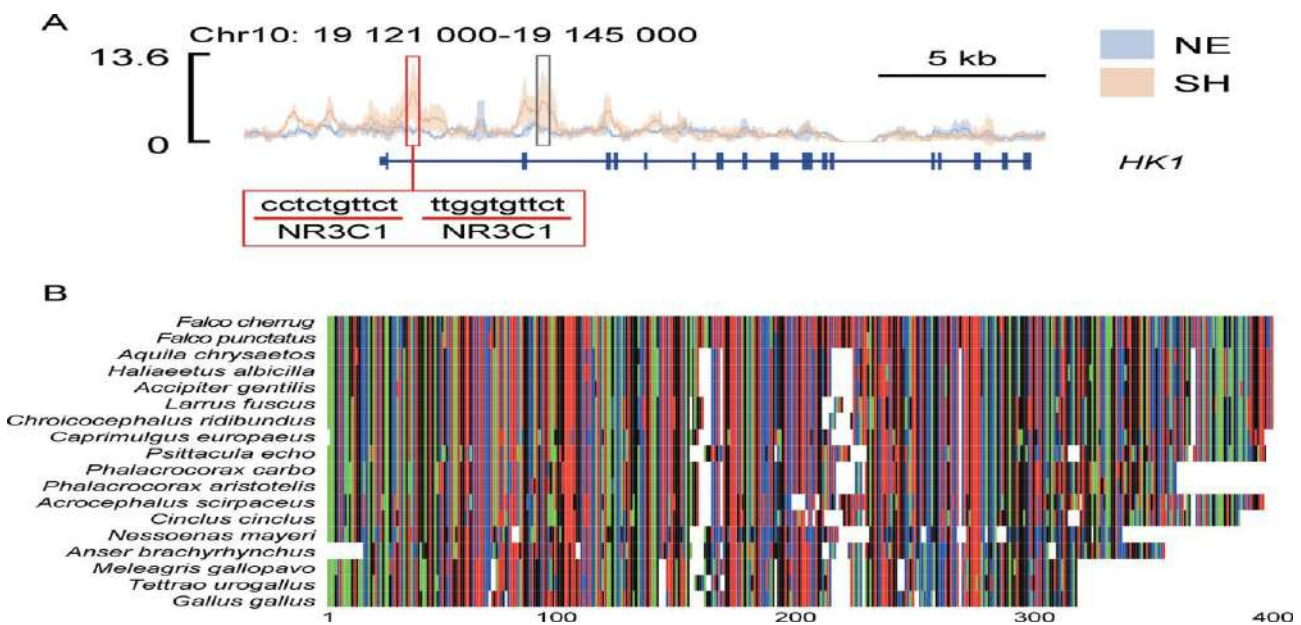


Figure 3 Comparative chromatin accessibility and sequence conservation in the *HK1* gene

A: ATAC-seq profiling identified two peaks across the *HK1* gene region. The peak with significantly altered accessibility between the control (NE) and hypoxia-treated (SH) groups is outlined in red, while the peak without significant differences is outlined in gray. Two predicted NR3C1 binding sites are highlighted in red. Gene structure is shown in blue, with a rectangle representing the CDS and a

shorter block indicating the UTR region.

highlanders, in which elevated hemoglobin enhances oxygen transport under chronic hypoxia (Beall, 2007). Notably, red blood cell counts remained unchanged ( $P=0.745$ ; Supplementary Figure S3), suggesting that sakers employ a species-specific strategy to avoid increased blood viscosity (Yu et al., 2024). This unique physiological flexibility offers new insights into oxygen transport mechanisms in hypoxic environments and highlights a previously underappreciated facet of hypoxia tolerance in high-altitude raptors.

Saker falcons exhibited marked metabolic responses to acute hypoxia, including a 47.9% increase in blood glucose concentrations following 72 h of exposure. Transcriptomic profiling of peripheral blood cells revealed significant down-regulation of *HK1*, a rate-limiting gene in the glycolytic pathway, indicating suppressed glycolytic flux in the hematopoietic compartment. This repression may reflect a systemic regulatory mechanism that limits glucose catabolism in peripheral tissues to preserve substrate availability for metabolically critical organs during oxygen deprivation. Glucose redistribution under hypoxic stress has been reported across vertebrate taxa. In rats (*Rattus norvegicus*) subjected to focal cerebral ischemia, expression of *GLUT1* and several glycolytic enzymes has been shown to increase significantly from 7.5 h to 24 h post-insult (Bergeron et al., 2000). Similarly, high-altitude deer mice (*Peromyscus maniculatus*) show elevated hexokinase activity in skeletal muscles compared to their lowland conspecifics, suggesting muscle-specific enhancement of glycolysis to meet increased ATP demands (Garrett et al., 2024). Given that glucose is the primary energy substrate for the brain, increased cerebral glucose availability under hypoxia is likely critical for preserving neural function and sustaining activity (Magistretti & Allaman, 2022; von Eugen et al., 2022). Moreover, glycolysis also generates ATP more rapidly than lipid metabolism, making it particularly advantageous for fueling short bursts of high-intensity exertion such as rapid

flight maneuvers during hunting (Jenni-Eiermann & Srygley, 2017). These findings collectively support the hypothesis that sakers modulate glucose

metabolism during acute hypoxia to prioritize energy delivery to vital organs and tissues with immediate metabolic demands. Due to conservation constraints—*F. cherrug* is listed as endangered on the IUCN Red List—it remains ethically and logistically difficult to obtain internal organs such as liver, muscle, or brain for comprehensive transcriptomic or metabolomic analyses. While blood provides a minimally invasive alternative that enables insight into systemic responses, future studies aiming to elucidate tissue-specific glucose reallocation mechanisms under hypoxia would benefit from the use of non-threatened avian models that permit multi-tissue sampling.

Although studies have highlighted the role of gene expression divergence and *cis*-regulatory elements in avian high-altitude adaptation (e.g., Hu et al., 2022; Pan et al., 2017), the contribution of trans-acting regulatory factors remains underexplored. To elucidate the upstream regulatory mechanisms governing *HK1* expression under hypoxic stress, integrative ATAC-seq and expression correlation analyses were performed, identifying the glucocorticoid receptor NR3C1 as a potential regulator. Evolutionary analysis revealed that the NR3C1-*HK1* regulatory axis is unique to avian genomes, suggesting its lineage-specific evolution approximately 115 million years ago (Zhang et al., 2014). Comparative genomic screening of 30 representative vertebrate genomes spanning birds, mammals, reptiles, and amphibians identified a 400 bp upstream element within the *HK1* locus that was uniquely conserved in birds and completely absent in non-avian vertebrates, with no evidence of partial or syntenic homology in either placental mammals (e.g., *Homo sapiens*, *Mus musculus*) or non-avian reptiles (e.g., *Chrysemys picta*, *Anolis carolinensis*), even under relaxed BLAST thresholds ( $E$ -value  $<1e^{-5}$ ). These findings suggest a *de novo* origin of this element in the avian lineage, possibly via sequence turnover, regulatory capture, or

transposable element duplication—mechanisms previously proposed for lineage-specific regulatory innovation (Feschotte, 2008; Villar et al., 2015). The high sequence identity (>90%) observed across the 18 avian species, coupled with the strong signature of purifying selection ( $K_n/K_s=0.097$ ), indicates functional constraint following its emergence, likely contributing to conserved regulatory control of *HK1* expression.

NR3C1 binds to glucocorticoid response elements (GREs) and exerts either transcriptional activation or repression depending on chromatin context and co-regulatory partner recruitment. In the presence of co-activators such as SRC-1 or CBP/p300, NR3C1 promotes the expression of gluconeogenic genes including *PEPCK* and *G6Pase* (Beato & Klug, 2000; Reddy et al., 2009). Conversely, binding to negative GREs (nGREs) or other transcription factors such as AP-1 or NF- $\kappa$ B enables NR3C1 to recruit co-repressors, such as NCoR and HDACs, to mediate transcriptional repression (Oakley & Cidlowski, 2013; Surjit et al., 2011). We propose that NR3C1 interacts with the avian-specific cis-regulatory element to repress *HK1* expression in blood cells under acute hypoxia, thereby facilitating glucose redistribution toward energetically demanding organs. This regulatory mechanism may have contributed to hypoxia resilience in early avian lineages, potentially driving their diversification during extreme global environmental changes, such as the Cretaceous-Paleogene mass extinction (Wilson et al., 2012). Although strong sequence conservation and evidence of purifying selection at the *HK1* upstream element support its functional relevance, direct validation of NR3C1-mediated repression under hypoxia remains unresolved. Experimental approaches, such as reporter gene assays in avian cell systems or CRISPR-based perturbation, will be critical to establishing causality between chromatin accessibility, NR3C1 binding, and *HK1* transcriptional regulation.

As rising global temperatures accelerate altitudinal range shifts in Qinghai-Xizang

Plateau birds (Meng et al., 2021; Parmesan & Yohe, 2003), the capacity to withstand intensifying hypoxia is becoming increasingly vital. The acute physiological responses identified in this study, including hemoglobin elevation, glucose reallocation via blood *HK1* down-regulation, and avian-specific regulatory adaptations at the *HK1* locus, may underlie sustained aerobic performance and predatory efficiency at higher elevations. These mechanistic insights underscore the potential for molecular and regulatory traits to influence ecological resilience and may refine projections of species vulnerability under future climate scenarios.

## CONCLUSIONS

This study identified a potential regulatory mechanism by which the NR3C1-*HK1* regulatory axis modulates glucose allocation in blood cells to support sustained activity under acute hypoxia at high-altitude environments. Using the saker falcon as a model, evidence suggests that repression of *HK1* expression may function as a strategic metabolic adjustment, facilitating glucose redistribution to oxygen-sensitive tissues during exposure to low-oxygen conditions. Although these findings shed light on a previously unrecognized component of avian hypoxic response, further research is required to explore its involvement in chronic adaptation to hypoxia. Broader investigation into additional metabolic pathways and their contributions to resource allocation under hypoxic stress would provide a more comprehensive understanding of avian adaptation to extreme environments. In conclusion, this study integrated physiological, transcriptomic, and chromatin accessibility data to reveal blood-based responses to acute hypoxia in Qinghai-Xizang Plateau birds. Altered hematological parameters and glycolytic gene repression point to flexible metabolic strategies that may sustain activity under oxygen-limited conditions. These findings enhance understanding of altitude adaptation and inform predictions of avian resilience to climate-driven elevational shifts.

### DATA AVAILABILITY

All data reported in this study have been deposited in the NCBI database under BioProjectID PRJNA1269714; GSA database (<https://ngdc.cnbc.ac.cn/gsa/>) under accession numbers CRA019903 (RNA-seq) and CRA019904 (ATAC-seq); and Science Data Bank (<https://www.scidb.cn/>) under DOI: 10.57760/sciencedb.24680. The saker falcon reference genome is available in the CNCB database under accession number GWHBOUP00000000.

### SUPPLEMENTARY DATA

Supplementary data to this article can be found online.

### COMPETING INTERESTS

The authors declare that they have no competing interests.

### AUTHORS' CONTRIBUTIONS

X.J.Z. and S.K.P. designed the research. W.W., S.K.P., J.F.C., and X.J.Z. wrote the manuscript. W.W. performed sampling, experiments, and data analysis. X.H.Z. and L.H. analyzed the ATAC-seq data. W.W. and X.H.Z. drew the figures. F.F.D. assisted with blood sample collection and glucose content measurement. Z.R.G. provided the saker tracking data. Z.Z.L. assisted with field sample collection and experiments. All authors read and approved the final version of the manuscript.

### ACKNOWLEDGMENTS

We thank Fan Li (Institute of Zoology, Chinese Academy of Sciences), Xue- Jiao Yang, and Jin-Biao Sun (Institute of Zoology, Chinese Academy of Sciences) for their assistance with sample collection in the field, and Dr. Yuan-Yuan Wang (Shanghai Institute of Immunity and Infection, Chinese Academy of Sciences) for

help with initial ATAC-seq processing.

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